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<b>(21) International Application Number:</b> PCT/US91/07138 <b>(22) International Filing Date:</b> 27 September 1991 (27.09.91)  <b>(30) Priority data:</b> 592,570                      4 October 1990 (04.10.90)                      US  <b>(71) Applicant:</b> THE GILLETTE COMPANY [US/US]; Prudential Tower Building, Boston, MA 02199 (US).  <b>(72) Inventors:</b> ANGELONE, Philip, P., Jr. ; 8 Freeport Drive, Wilmington, MA 01887 (US). KARASSIK, Nancy, M. ; 83 Stone Root Lane, Concord, MA 01742 (US). GRACE, William, R. ; 389 Haverhill Street, Reading, MA 01867 (US).		<b>(74) Agents:</b> HANDELMAN, Joseph, H. et al. ; Ladas & Parry, 26 West 61 Street, New York, NY 10023 (US).  <b>(81) Designated States:</b> AT (European patent), BE (European patent), CA, CH (European patent), DE (European patent), DK (European patent), ES (European patent), FI, FR (European patent), GB (European patent), GR (European patent), IT (European patent), LU (European patent), NL (European patent), NO, SE (European patent).  <b>Published</b> <i>With international search report.</i>
<b>(54) Title:</b> COSMETIC TECHNOLOGY  <b>(57) Abstract</b>  A clear gel-type cosmetic product has a viscosity of at least about 50,000 cps at 21 °C, and includes an emulsion with an oil phase and a water phase that includes an incorporated active ingredient. The refractive indices of the water and oil phases match to at least 0.0004, the refractive index of the product is about 1.4000, and the product clarity is better than thirty NTU.		

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<sup>+</sup> Any designation of "SU" has effect in the Russian Federation. It is not yet known whether any such designation has effect in other States of the former Soviet Union.

- 1 -

COSMETIC TECHNOLOGY

This invention relates to cosmetic products such as deodorants and antiperspirants and processes for forming such cosmetic products.

5           Antiperspirant and deodorant products are well-known in the cosmetic art. Deodorant and antiperspirant products may be in the form of an emulsion which includes a water phase and an oil phase. Gel-type emulsion deodorants and antiperspirants are  
10 used by rubbing an area of the body such as the underarm to apply a layer of the composition to the skin which reduces odor and/or perspiration. It is desirable that such products have aesthetic characteristics of non-crumbling, smoothness, non-oiliness and non-tackiness.  
15 Clarity of such products is a long-sought desirable aesthetic characteristic. Another desirable characteristic is that no readily visible residue as, e.g., a white layer, be left on the skin after the deodorant or antiperspirant is applied.

20           In accordance with one aspect of the invention, there is provided an optically clear cosmetic product with the ability of being packaged in a clear container, of the deodorant or antiperspirant type that has a refractive index of 1.3975 - 1.4025 at 21°C., an  
25 optical clarity better than fifty NTU (Nephelometric Turbidity Units) at 21°C. and a viscosity of at least about 50,000 cps at 21°C., and is an emulsion with an oil phase and a water phase with an active ingredient incorporated therein. The refractive indices ( $n_D$ )

- 2 -

(measured at 5893<sup>0</sup>Å) of the water and oil phases match to within 0.0004. An optically clear antiperspirant or deodorant product of the invention is one that is visually clear, and, like glass, allows ready viewing of objects behind it. By contrast, a translucent deodorant or antiperspirant product, although allowing light to pass through, causes the light to be so scattered that it will be difficult to see clearly objects behind the translucent product. Preferably, the product has a turbidity measurement of less than 30 NTU. Distilled water has a turbidity of 0 NTU and whole milk diluted one part in 350 parts of distilled water has a turbidity of 200 NTU. The turbidity measurements discussed hereinafter were made with a Orbeco-Hellige #965 Direct-Reading Turbidimeter.

The oil phase preferably makes up about ten to twenty-five percent of the product and includes an emulsifier which when properly mixed with the water phase components yields a water-in-oil emulsion. The oil phase is typically a blend of liquids and includes, a polyorganosiloxane, for example, dimethicone (e.g. Dow Corning DC-225 fluid,  $n_D=1.3995$ ), isopropyl myristate ( $n_D=1.4340$ ) isopropyl palmitate, ( $n_D=1.4370$ ), or diisopropyl sebacate ( $n_D=1.4320$ ), and a silicone emulsifying agent. A particularly suitable emulsifying agent is a polyether substituted silicone of Cyclomethicone (and) Dimethicone Copolyol ( $n_D=1.395$ ) (available as DC-3225C from Dow Corning). The DC-3225C emulsifier is useful for preparing stable water-in-silicone emulsions where silicone makes up a large portion of the oil phase, and is a dispersion of a silicone surfactant (dimethicone copolyol) (ten percent) in cyclomethicone (Dow Corning 344 Fluid) (ninety percent).

The water phase includes one or a combination of various polar species such as water ( $n_D=1.3333$ ), propylene glycol ( $n_D=1.4320$ ), sorbitol ( $n_D=1.4611$ ) and

- 3 -

ethanol ( $\eta_D=1.3618$ ). The water phase includes, in solution, a deodorant and/or antiperspirant active ingredient such as Triclosan, Benzethonium Chloride and/or an astringent salt of aluminum or zirconium, such as aluminum chlorohydrate or aluminum zirconium tetrachlorohydrate-gly. Particularly preferred active ingredients are a fifty percent aqueous solution of aluminum chlorohydrate ( $\eta_D$  of about 1.4070), Triclosan (Irgasan, DP-300, Ciba-Geigy -- a 3% solution in ethanol has  $\eta_D=1.3638$ ) and Benzethonium Chloride (Hyamine 1622 Rohm and Haas, a 2% solution in ethanol has  $\eta_D=1.3638$ ). The active ingredient(s) should be present in an amount effective to reduce perspiration or odor, as the case may be, when applied to the skin. The precise amount of active component that can be used will vary with the particular component and formula. As a general rule, however, an antiperspirant product should contain anywhere from about ten to about thirty percent (more preferably about twenty percent to about thirty percent) of active antiperspirant component. A deodorant product should contain up to about 0.5 percent Triclosan, up to about 0.5 percent Benzethonium Chloride or up to about six percent aluminum chlorohydrate as the active deodorant component.

Products are called an antiperspirant or a deodorant in this application, and when called an antiperspirant there is no intention to suggest that the product only has antiperspirant properties. Such an antiperspirant also has deodorant properties. For certain purposes it may be desirable to refer to such a product as a deodorant as well.

The product can also contain additional cosmetic ingredients such as emollients, colorants, fragrances, and preservatives. Percentages set out in the description and claims are in weight percent.

In preferred embodiments, the oil phase comprises about ten to about twenty-five percent by

- 4 -

weight of the product, and the water phase generally makes up between about seventy-five to about ninety percent. To provide an optically clear antiperspirant or deodorant product, the refractive indices ( $n_D$ ) of the oil and water phases are measured using a suitable refractometer such as a Reichert Jung, Abbe Mark II Refractometer Model 10480, and one phase is adjusted as necessary to have a refractive index that matches that of the other phase within 0.0004.

In particular antiperspirant embodiments, the oil phase is formulated and its refractive index is optically measured; the water phase is formulated using a 50% aqueous aluminum chlorohydrate solution, propylene glycol, water and ethanol, and the refractive index of the water phase is optically measured. In a particular deodorant embodiment, the oil phase is formulated and its refractive index is measured. Propylene glycol, water, and ethanol are added to an aluminum chlorohydrate solution and mixed, and sorbitol is then added; and the refractive index of the water phase is then optically measured. In both antiperspirant and deodorant embodiments, propylene glycol or water is added to change the water phase refractive index so that it matches the refractive index ( $n_D$ ) of the oil phase to at least 0.0004 at room temperature (20-25°C.).

Following the adjustment, the water phase is optically remeasured to verify the match. For example, for an oil phase with refractive index of 1.3997 and an initial water phase refractive index of 1.3985, propylene glycol is added to the water phase to produce a matching water phase refractive index of 1.3997. The water phase is then slowly added to the oil phase as the mixture is mixed at low speed; fragrance is then added; and the mixture is sheared to form a stable water in oil

emulsion with viscosity in excess of 50,000 cps at 21°C. More preferably, the viscosity is between about 80,000-200,000 cps and most preferably around 140,000 cps. The

- 5 -

following Examples 1-7 illustrate representative antiperspirant and deodorant products and are given by way of illustration only and are not to be considered as being limiting. The amounts in the Examples and the claims are in weight percent.

In the following Examples, the ingredients of the oil phase are combined and its refractive index at room temperature (about 21°C.) is measured. The water phase is then formulated, its refractive index is measured also at room temperature and adjusted as necessary to match that of the oil phase, and optically remeasured to verify the match. The water phase is then slowly added to the oil phase over about twenty minutes to slowly build viscosity while a mixing head is driven to maintain a mild vortex. Perfume is then added and the mixture is then sheared with a suitable homogenizing device to produce a gel with a viscosity of around 140,000 cps at 21°C.

Example 1 (Antiperspirant)

	<u>CFTA NAME</u>	<u>% ACTIVE</u>
	<u>WATER PHASE</u>	
	WATER	37.01
	ALUMINUM CHLOROHYDRATE	30.00
	ETHANOL (SD ALCOHOL 40, 200 PROOF)	10.00
25	PROPYLENE GLYCOL	4.99
	<u>OIL PHASE</u>	
	DIMETHICONE (DC-225)	9.85
	CYCLOMETHICONE & DIMETHICONE	
	COPOLYOL (DC-3225C)	8.00
30	<u>FRAGRANCE</u>	
	FRAGRANCE	0.15

The oil phase had a refractive index of 1.3995 at 21.3°C.; the water phase had an initial refractive index of 1.3990 and the water phase refractive index was adjusted by the addition of propylene glycol so that the water phase refractive index matched the 1.3995 oil phase refractive index. The resulting composition of Example 1 had a viscosity of 146,000 cps, a measured turbidity of 22 NTU and a refractive index of 1.3998 at 21°C., and was an effective antiperspirant.

- 6 -

Example 2 (Antiperspirant)

	<u>CETA NAME</u>	<u>% ACTIVE</u>
	<u>WATER PHASE</u>	
	WATER	37.01
5	ALUMINUM CHLOROHYDRATE	30.00
	ETHANOL (SD ALCOHOL 40, 200 PROOF)	10.00
	PROPYLENE GLYCOL	4.99
	<u>OIL PHASE</u>	
	DIMETHICONE	10.00
10	CYCLOMETHICONE & DIMETHICONE COPOLYOL	8.00

The oil phase had a refractive index of 1.3998 at 20.7°C.; and the water phase had a refractive index of 1.3996 at 20.8°C. Its refractive index was not adjusted. The resulting composition of Example 2 had a viscosity of 110,000 cps, a measured turbidity of 18 NTU and a refractive index of 1.3996 at 20.8°C., and was an effective antiperspirant.

Example 3 (Antiperspirant)

	<u>CETA NAME</u>	<u>% ACTIVE</u>
20	<u>WATER PHASE</u>	
	WATER	37.14
	ALUMINUM ZIRCONIUM TETRACHLOROHYDREX-GLY	20.00
	PROPYLENE GLYCOL	14.86
	ETHANOL (SD ALCOHOL 40, 200 PROOF)	10.00
25	<u>OIL PHASE</u>	
	CYCLOMETHICONE & DIMETHICONE COPOLYOL	8.00
	DIMETHICONE	10.00

The oil phase had a refractive index of 1.3992; the water phase had an initial refractive index of 1.4018 and the water phase refractive index was adjusted by the addition of water so that the water phase refractive index matched the 1.3992 oil phase refractive index. The resulting composition of Example 3 had a viscosity of 140,000 cps, a measured turbidity of 43 NTU, and a refractive index of 1.3992, and was an effective antiperspirant.



- 7 -

Example 4 (Antiperspirant)

	<u>CFTA NAME</u>	<u>% ACTIVE</u>
	<u>WATER PHASE</u>	
	WATER	37.010
5	ALUMINUM CHLOROHYDRATE	30.000
	ETHANOL (SD ALCOHOL 40, 200 PROOF)	10.000
	PROPYLENE GLYCOL	4.990
	<u>OIL PHASE</u>	
	DIMETHICONE (DC-225)	9.825
10	CYCLOMETHICONE & DIMETHICONE	
	COPOLYOL (DC-3225C)	8.000
	<u>FRAGRANCE</u>	
	FRAGRANCE	0.175

The oil phase had a refractive index of 1.3997 at 21.0°C.; the water phase had an initial refractive index of 1.3985 at 20.9°C. and the water phase refractive index was adjusted by the addition of 0.5 kilogram of propylene glycol to the 49.3 kilogram water phase so that the water phase refractive index matched the 1.3997 oil phase refractive index. The resulting composition of Example 4 had a viscosity of 122,000 cps, a measured turbidity of 22 NTU, and a refractive index of 1.3997 at 20.7°C., and was an effective antiperspirant.

25 Example 5 (Antiperspirant)

	<u>CFTA NAME</u>	<u>% ACTIVE</u>
	<u>WATER PHASE</u>	
	WATER	37.010
	ALUMINUM CHLOROHYDRATE	30.000
30	ETHANOL (SD ALCOHOL 40, 200 PROOF)	10.000
	PROPYLENE GLYCOL	4.990
	<u>OIL PHASE</u>	
	DIMETHICONE (DC-225)	9.825
	CYCLOMETHICONE & DIMETHICONE	
35	COPOLYOL (DC-3225C)	8.000
	<u>FRAGRANCE</u>	
	FRAGRANCE	0.175

The oil phase had a refractive index of 1.3997 at 20.9°C.; the water phase had an initial refractive index of 1.3995 at 21.0°C. The water phase refractive index was adjusted with propylene glycol to produce a remeasured water phase refractive index of 1.3997 at 20.9°C. The resulting composition of Example 5 had a viscosity of 134,000 cps, a measured turbidity of 18

- 8 -

NTU, and a refractive index of 1.3997 at 20.9°C., and was an effective antiperspirant.

Example 6 (Deodorant)

	<u>CFTA NAME</u>	<u>% ACTIVE</u>
5	<u>WATER PHASE</u>	
	WATER	33.25
	SORBITOL	14.00
	ETHANOL (SD ALCOHOL 40, 200 PROOF)	12.00
	PROPYLENE GLYCOL	22.50
10	TRICLOSAN	0.25
	SODIUM HYDROXIDE	0.02
	<u>OIL PHASE</u>	
	DIMETHICONE (DC-225)	9.70
	CYCLOMETHICONE & DIMETHICONE	
15	COPOLYOL (DC-3225C)	8.00
	<u>FRAGRANCE</u>	
	FRAGRANCE	0.30

The oil phase had a refractive index of 1.4001 at 19.8°C.; the water phase had an initial refractive index of 1.3998 and its refractive index was adjusted by the addition of propylene glycol to match the 1.4001 oil phase refractive index. The resulting composition of Example 6 had a viscosity of 168,000 cps, a measured turbidity of 26 NTU, and a refractive index of 1.3999 at 24°C., and was an effective deodorant.

Example 7 (Deodorant)

	<u>CFTA NAME</u>	<u>% ACTIVE</u>
	<u>WATER PHASE</u>	
30	WATER	35.00
	SORBITOL	14.00
	ALUMINUM CHLOROHYDRATE	3.00
	ETHANOL (SD ALCOHOL 40, 200 PROOF)	10.00
	PROPYLENE GLYCOL	20.00
	<u>OIL PHASE</u>	
35	DIMETHICONE (DC-225)	9.70
	CYCLOMETHICONE & DIMETHICONE	
	COPOLYOL (DC-3225C)	8.00
	<u>FRAGRANCE</u>	
	FRAGRANCE	0.30

The oil phase had a refractive index of 1.3998 at 20.3°C.; the water phase had an initial refractive index of 1.3991 and the water phase refractive index was adjusted by the addition of 0.15 kilogram of propylene glycol to the 49.4 kilogram water phase so that the

- 9 -

water phase refractive index matched the 1.3998 oil phase refractive index. The resulting deodorant composition of Example 7 had a viscosity of 160,000 cps, a measured turbidity of 23 NTU, and a refractive index  
5 of 1.3997 at 24°C., and was an effective deodorant.

While particular embodiments of the invention has been shown and described, various modifications will be apparent to those skilled in the art, and therefore it is not intended that the invention be limited to the  
10 disclosed embodiments or to details thereof, and departures may be made therefrom within the spirit and scope of the invention.

- 10 -

C L A I M S

1. An optically clear cosmetic product of the deodorant or antiperspirant type, comprising:  
an emulsion with a viscosity of at least about 50,000 cps at 21°C. and a refractive index ( $n_D$ ) in the range of 1.3975 - 1.4025, said emulsion having a water phase with an active ingredient incorporated therein and an oil phase.
2. The product of claim 1, wherein the clarity of said product is better than fifty NTU at 21°C.
3. The product of claim 1, wherein said emulsion is a water-in-oil type emulsion and said water phase makes up between seventy-five to ninety percent of said emulsion.
4. The product of claim 1, wherein a principal constituent of said oil phase is a silicone.
5. The product of claim 1, wherein said oil phase includes a silicone with a viscosity of less than fifty cps at 21°C. and said oil phase makes up at least about ten percent of said emulsion.
6. The product of claim 1, wherein said active ingredient is selected from the group consisting of astringent salts of aluminum and zirconium, Triclosan, Benzethonium Chloride, and mixtures thereof.
7. The product of claim 1, wherein said product has a viscosity between about 80,000-200,000 cps at 21°C.
8. The product of claim 1, wherein said water phase includes water, propylene glycol and ethanol.
9. The product of claim 8, wherein said water phase further includes sorbitol.
10. The product of claim 1, further including fragrance.
11. The product of claim 1, and further including a container with an optically clear wall in which said cosmetic product is housed.
12. The product of claim 1, wherein the clarity of said product is better than thirty NTU at 21°C.; said product has a viscosity between about 80,000-200,000 cps at

- 11 -

21°C.; said emulsion is a water-in-oil type emulsion, said water phase makes up between about 75-90% of said emulsion, and said oil phase makes up at least about ten percent of said emulsion; said active ingredient is selected from the group consisting of astringent salts of aluminum and zirconium, Triclosan, Benzethonium Chloride, and mixtures thereof; said water phase includes water, propylene glycol and ethanol; and a principal constituent of said oil phase is a silicone.

13. The product of claim 12, wherein said water phase includes about thirty-five percent water, about thirty percent aluminum chlorohydrate, about ten percent ethanol, and about five percent propylene glycol; said oil phase includes about ten percent dimethicone and about ten percent emulsifier; and said product has a refractive index of about 1.4000 at 21°C., a measured turbidity of less than about twenty-five NTU at 21°C. and a viscosity at 21°C. of about 140,000 cps.

14. The product of claim 12, wherein said water phase includes about thirty-five percent water, about three percent aluminum chlorohydrate, about ten percent ethanol, about fifteen percent sorbitol, and about twenty percent propylene glycol; said oil phase includes about ten percent dimethicone and about ten percent emulsifier; and said product has a refractive index of about 1.4000 at 21°C., a measured turbidity of less than about twenty-five NTU at 21°C. and a viscosity at 21°C. of about 140,000 cps.

15. An optically clear product of the deodorant or antiperspirant type, comprising:

an emulsion with a viscosity of at least 50,000 cps at 21°C. and having:

(a) a water phase comprising seventy-five to ninety percent of said emulsion and including water, propylene glycol, ethanol, and an active ingredient selected from the group consisting of astringent salts of aluminum and zirconium, Triclosan, Benzethonium Chloride, and

- 12 -

mixtures thereof, and (b) an oil phase comprising ten to twenty-five percent of said emulsion and including a silicone,

the refractive index of said oil phase being in the range of 1.3975 to 1.4025 at 21°C. and the refractive index of said water phase matching the refractive index of said oil phase to at least 0.0004.

16. A method of producing an optically clear cosmetic product of the deodorant or antiperspirant type, comprising:

providing an oil phase, optically determining the refractive index of said oil phase,

providing a water phase with an active ingredient incorporated therein, optically determining the refractive index of said water phase,

adjusting the refractive index of at least one of said oil and water phases, if necessary, to match to at least 0.0004 at room temperature; optically redetermining the refractive index of said adjusted one phase to verify said match; mixing said oil and water phases; and

further processing said mixture of said oil and water phases to produce an emulsion having a viscosity of at least 50,000 cps at 21°C., a clarity of better than fifty NTU at 21°C. and a refractive index ( $n_D$ ) in the range of 1.3975 - 1.4025.

17. The method of claim 16, and further including the steps of adding perfume to said mixture.

18. The method of claim 16, wherein the refractive index of said water phase is adjusted after its refractive index is optically determined in order to match the refractive of said oil phase.

19. The method of claim 18, wherein said water phase includes water, propylene glycol and ethanol.

20. The method of claim 19, wherein said water phase comprises about seventy-five to ninety percent of said emulsion.

21. The method of claim 16, wherein said oil phase has

- 13 -

an optically measured refractive index from in the range of 1.3975 to 1.4025 at 21°C.

22. The method of claim 17, wherein a principal constituent of said oil phase is silicone, said oil phase is selected from one or a combination of a polyether substituted silicone emulsifier such as Cyclomethicone (and) Dimethicone Copolyol, dimethicone, isopropyl myristate, isopropyl palmitate, and diisopropyl sebacate, and said active ingredient is selected from the group consisting of aluminum and zirconium astringent salts, Triclosan, Benzethonium Chloride, and mixtures thereof.

23. The method of claim 22, wherein said further processing provides a viscosity of said product between about 80,000 and 200,000 cps at 21°C.

# INTERNATIONAL SEARCH REPORT

International Application No.

PCT/US91/07138

<b>I. CLASSIFICATION OF SUBJECT MATTER</b> (If several classification symbols apply, indicate all) *		
According to International Patent Classification (IPC) or to both National Classification and IPC		
INT. CL. (5) A61K 7/34 A61K 7/38		
U.S. CL. 424/066, 424/068		
<b>II. FIELDS SEARCHED</b>		
Minimum Documentation Searched *		
Classification System		Classification Symbols
U.S.	424/066	424/058
Documentation Searched other than Minimum Documentation to the Extent that such Documents are Included in the Fields Searched *		
<b>III. DOCUMENTS CONSIDERED TO BE RELEVANT *</b>		
Category *	Citation of Document, ** with indication, where appropriate, of the relevant passages **	Relevant to Claim No. **
X	US, A, 4,268,499 (KEIL) 19 May 1981 Column 11, lines 39 to 65	1 to 23
X	US, A, 4,278,655 (ELMI) 14 July 1981 Column 5, lines 54 to 68	1 to 23
X	US, A, 4,350,605 (HUGHETT) 21 September 1982 Column 4, lines 45 to 68	1 to 23
X	N, Cosmetics & Toiletries, issued December 12, 1985 (Wheaton, Illinois, U.S.A.), Vol. 100, pp 66, 68, 69, 70, 71, 72, 73, 74 and 75	1 to 23
<p>* Special categories of cited documents: **</p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>"A" document member of the same patent family</p>		
<b>IV. CERTIFICATION</b>		
Date of the Actual Completion of the International Search		Date of Mailing of this International Search Report
27 November 1991		13 DEC 1991
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